



zfw

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number
09/925,228

Filing Date
August 8, 2001

First Named Inventor
Edward A. Jesser

Art Unit
2632

Examiner Name
Pham, Toan Ngoc

Attorney Docket Number
EMS-02-016U

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application
☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☒ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below):

Copy of Change of Correspondence Address;

*Copy of Transmittal
of Payment of Issue Fee*

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Francis Law Group

Signature

Printed name

Ralph C. Francis

Date

April 21, 2006

Reg. No.

38,884

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Danielle M. Jones

Date

04/21/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:)
)
Edward A. Jesser)
)
FOR: **RFID TAG ASSEMBLY**)
AND SYSTEM)
)
SERIAL NO: **09/925,228**)
)
FILED: **August 8, 2001**)
)
ART UNIT NO: **2635**)
)
EXAMINER: **to be assigned**)
)
Attorney Docket No: **EMS-02-016U**)

Mail Stop Petition
Commissioner for Petitions
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT
UNDER 37 CFR 1.181(a)

Dear Sir:

On April 21, 2006, our office ascertained that on October 13, 2005, a Notice of Allowance for the above referenced case was issued. The Notice of Allowance was, however, sent to 1808 Santa Clara Ave, CA, 94501, our old address.

On November 11, 2004, our office submitted a Change of Correspondence Address in Patent Application. A copy of the Change of Correspondence Address, certificate of mailing and a returned postcard, evidencing receipt of the Change of Correspondence Address are enclosed herewith.

Applicant therefore respectfully requests that the abandonment of the above referenced application be withdrawn.

The Commissioner is hereby authorized to charge Account 06-1838 for any fees associated with this petition.

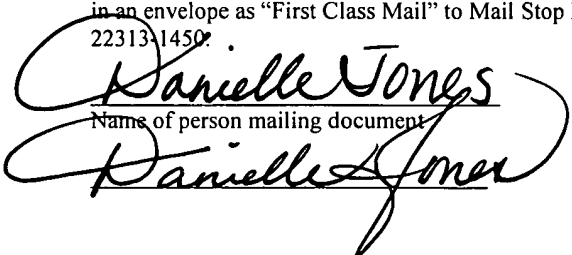
Respectfully submitted,

By: 

Ralph C. Francis
Registration No. 38,884

April 21, 2006
FRANCIS LAW GROUP
1942 Embarcadero
Oakland, CA 94606
(510) 533-1100

I hereby certify that this document is being deposited with the United States Postal Service on this date 4.21.06
in an envelope as "First Class Mail" to Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.


Name of person mailing document



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Edward A. Jesser

FOR: **RFID TAG ASSEMBLY
AND SYSTEM**

SERIAL NO: **09/925,228**

FILED: **August 8, 2001**

ART UNIT NO: **2635**

EXAMINER: **to be assigned**

Attorney Docket No: **EMS-02-016U**

C O P Y

Assistant Commissioner for Patents
Box: Patent Address Change
Washington, D.C. 20231

CHANGE OF CORRESPONDENCE
ADDRESS IN PATENT APPLICATION

Please change the address of the attorney of record from:

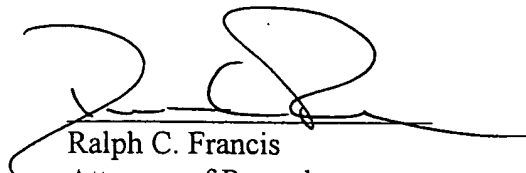
Francis Law Group
1808 Santa Clara Ave
Alameda, CA 94501

to:

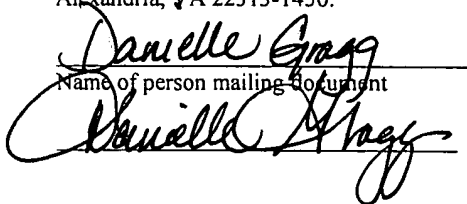
Francis Law Group
1942 Embarcadero
Oakland, CA 94606
Tel. No.: (510) 533-1100
Fax. No. (510) 533-1106

It is certified that the person whose signature appears below has the authority to change the correspondence address for the patent.

Date: 11-15-04


Ralph C. Francis
Attorney of Record
Reg. No.: 38,884

I hereby certify that this document is being deposited with the United States Postal Service on this date 11-16-04 in an envelope as "First Class Mail" addressed to the Commissioner for Patents Box- Patent Address Change, P.O. Box 1450, Alexandria, VA 22313-1450.


Name of person mailing document



Title of Invention RFID Tag Assembly and System Date 11-16-04
Attorney Docket No. EMS-02-0164
Serial No. 09/925,228
Group No. 2435

☒ Transmittal of:
☐ Patent Application Specification
Total Pages _____
☐ Claims _____ Pages
☐ Drawing Sheets _____ Sheets
☐ Formal/Informal
☐ Abstract _____ Pages
☐ Power of Attorney/Declaration
☐ Assignment _____ Pages
☐ Assignment Cover Sheet
☐ Verification of Small Entity Status
☐ Transmittal Letter

Check # _____ \$ _____
Express Mail Label No. _____
Petition for Extension of Time: Total Pages _____
Information Disclosure Statement
Copies of References & Form PTO 1449
Response _____ Pages
Issue Fee Transmittal
PCT Request _____ Pages
PCT Demand _____ Pages
Maintenance Fee Transmittal
☒ Other Change of Correspondence
Address on Patent App!

Title of Invention RFID Tag Assembly and System Date 11-16-04
Attorney Docket No. EMS-02-0164
Serial No. 09/925,228
Group No. 2435

☒ Transmittal of:
☐ Patent Application Specification
Total Pages _____
☐ Claims _____ Pages
☐ Drawing Sheets _____ Sheets
☐ Formal/Informal
☐ Abstract _____ Pages
☐ Power of Attorney/Declaration
☐ Assignment _____ Pages
☐ Assignment Cover Sheet
☐ Verification of Small Entity Status
☐ Transmittal Letter

Check # _____ \$ _____
Express Mail Label No. _____
Petition for Extension of Time: Total Pages _____
Information Disclosure Statement
Copies of References & Form PTO 1449
Response _____ Pages
Issue Fee Transmittal
PCT Request _____ Pages
PCT Demand _____ Pages
Maintenance Fee Transmittal
☒ Other Change of Correspondence
Address on Patent App!



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Edward A. Jesser

FOR: **RFID TAG ASSEMBLY
AND SYSTEM**

SERIAL NO: **09/925,228**

FILED: **August 8, 2001**

ART UNIT NO: **2635**

EXAMINER: **Pham, Toan Ngoc**

Attorney Docket No: **EMS-02-016U**

C O P Y

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 CFR 1.311)

1. Applicants hereby pays the issue fee and publication fee for the attached Issue Fee Transmittal PTOL-85B.
2. Fee (37 CFR 1.18(a) and (b)):

| Application status is: | <u>Regular</u> | <u>Design</u> |
|---|-----------------------|-----------------------|
| <u> X </u> small business entity - fee | <u> \$1000.00 </u> | <u> </u> |
| <u> </u> other than a small entity - fee | <u> </u> | <u> </u> |

3. Payment of fee:

X Enclosed please find a check in the amount of \$1000.00 (Issue Fee and Publication Fee) and \$3.00 (Advance Order Fee).

XX Charge Account 06-1838 for any fee deficiency.

_____ Charge Account _____ the sum of \$ _____.

A duplicate of this request is attached.

Respectfully submitted,

By: _____

Ralph C. Francis
Registration No. 38,884

April 21, 2006
FRANCIS LAW GROUP
1942 Embarcadero
Oakland, CA 94606
(510) 533-1100

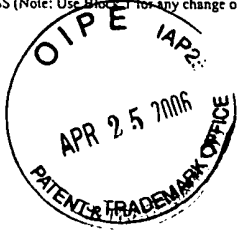
PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Ralph C. Francis
 Francis Law Group
 1942 Embarcadero
 Oakland, CA 94606



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Danielle M. Jones (Depositor's name)
 Danielle M. Jones (Signature)
 April 21, 2006 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/925,228 | 08/08/2001 | Edward A. Jesser | EMS-02-016U | 3140 |

TITLE OF INVENTION:

RFID Tag Assembly and System

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$300 | \$1000 | 01/03/2006 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-----------------|----------|----------------|
| Pham, Toan Ngoc | 2632 | |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Francis Law Group

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Escort Memory Systems

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Scotts Valley, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1838 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Typed or printed name Ralph C. Francis

Date April 21, 2006

Registration No. 38,884

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.